

NOTICE OF A COMPLAINT AGAINST AN AUSIMM MEMBER(S)

Please use this form to lodge allegations that an AusIMM member has breached their obligations under the AusIMM By-Laws, Code of Ethics or other professional codes.

COMPLAINANT DETAILS

Name

Are you an AusIMM member? No Yes AusIMM member number

Preferred postal address

Preferred email address Telephone number(s)

NAME OF AUSIMM MEMBER(S) WHOM THE COMPLAINT IS AGAINST

WHAT IS THE NATURE OF THE COMPLAINT?

This complaint alleges a breach of:

AusIMM By-Laws Specific By-Law clause(s):

AusIMM Code of Ethics Specific Code of Ethics clause(s):

JORC Code Specific JORC Code clause(s):

VALMIN Code Specific VALMIN Code clause(s):

Other Details:

COMPLAINT DETAILS

Please provide detailed information regarding specific allegations, provisions of the AusIMM By-Laws, Code of Ethics, Professional Codes (including JORC and VALMIN) or relevant legislation. Supporting evidence or documents can be provided as attachments to the complaint. If the matter relates to a Public Report or announcement, please provide a PDF copy as an attachment.

Signature

Date

NOTES ON COMPLAINT HANDLING

This Notice of Complaint is to be completed in full and submitted with any supporting documentation to the CEO, AusIMM, PO Box 660 Carlton South Vic 3053. Submissions by email are preferred via compliance@ausimm.com.au. Complaints may also be lodged by post or facsimile (+61 3 9662 3534).

This complaint will be processed in the manner required prescribed by the [AusIMM Professional Conduct Regulations](#).

Complaints must relate to AusIMM members' activities with respect to their activities as minerals professionals or members of the minerals industry. Any individual and/or corporate member(s) can be the subject of a complaint. In cases of serious breaches of ethical or legal obligations beyond minerals industry activities, the members' right to remain a member of the Institute may be considered.

All deliberations of the Professional Conduct Committee and Ethics Committee are strictly confidential.

In promoting the highest professional ethics of its members, the AusIMM will describe the issues raised by complaints received in general terms in future communications to AusIMM members and other stakeholders on the operations of AusIMM's complaints systems. Annual reports detailing the activity of the Professional Conduct and Ethics Committees are published in *The AusIMM Bulletin*. The annual report contains information on the number and broad subject matter of complaints and actions taken.